



**COMBINED DECLARATION AND POWER OF ATTORNEY  
FOR UTILITY PATENT APPLICATION**

AS A BELOW-NAMED INVENTOR, WE HEREBY DECLARE THAT:

Our residence, post office address, and citizenship are as stated below next to our names.

We believe we are the original, first and joint inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled: METHODS TO MEDIATE POLYKETIDE SYNTHASE MODULE EFFECTIVENESS, the specification of which is attached hereto unless the following box is checked:

☒ was filed on February 9, 2000 as United States Application Serial No. 09/500,747 and was amended on \_\_\_\_ (if applicable).

WE HEREBY STATE THAT I HAVE REVIEWED AND UNDERSTAND THE CONTENTS OF THE ABOVE-IDENTIFIED SPECIFICATION, INCLUDING THE CLAIMS, AS AMENDED BY ANY AMENDMENT REFERRED TO ABOVE.

We acknowledge the duty to disclose information which is material to the patentability as defined in 37 C.F.R. § 1.56.

We hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed:

Application No.	Country	Date of Filing (day/month/year)	Priority Claimed?
			<input type="checkbox"/> Yes <input type="checkbox"/> No

We hereby claim benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

Application Serial No.	Filing Date
60/119,363	Feb. 9, 1999

We hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. § 112, we acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

Application Serial No.	Filing Date	Status
		<input type="checkbox"/> Patented <input type="checkbox"/> Pending <input type="checkbox"/> Abandoned

We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under § 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date \_\_\_\_\_  
Name: Rajesh S. Gokhale  
Residence: Delhi, India  
Citizenship: India  
Post Office Address: 88 Sadar Apartments, Mayur Vihar Phase 1  
 (Extn), Delhi 110091, India

8/19/00  
Date \_\_\_\_\_  
Name: Stuart Tsuji  
Residence: Stanford, CA  
Citizenship: United States  
Post Office Address: 32 Angell Court, Apt. A, Stanford, CA 94305

Date \_\_\_\_\_  
Name: Chaitan Khosla  
Residence: Palo Alto, CA 94306  
Citizenship: India  
Post Office Address: 740 La Para Avenue, Palo Alto, CA 94306

#3

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 Date                      Name:                      Rajesh S. Gokhale  
                                  Residence:                  Delhi, India  
                                  Citizenship:                India  
                                  Post Office Address:    88 Sadar Apartments, Mayur Vihar Phase 1  
                                                                       (Extn), Delhi 110091, India

                                                
 Date                      Name:                      Stuart Tsuji  
                                  Residence:                  Stanford, CA  
                                  Citizenship:                United States  
                                  Post Office Address:    32 Angell Court, Apt. A, Stanford, CA 94305

                                                
 Date                      Name:                      Chaitan Khosla  
                                  Residence:                  Palo Alto, CA 94306  
                                  Citizenship:                India  
                                  Post Office Address:    740 La Para Avenue, Palo Alto, CA 94306

Applicant/Patentee: Rajesh S. Gokhale, Stuart Tsuji and Chaitan Khosla  
Serial No./Patent No.: 09/500,747  
Filed on/Issued: February 9, 2000  
For: METHODS TO MEDIATE POLYKETIDE SYNTHASE MODULE EFFECTIVENESS

Docket No.: 300622004600

**VERIFIED STATEMENT CLAIMING SMALL ENTITY  
STATUS 37 C.F.R. § 1.9(f) AND § 1.27(d) - NONPROFIT ORGANIZATION**

I hereby declare that I am an official empowered to act on behalf of the non-profit organization identified below:

Name of nonprofit organization: Board of Trustees of the Leland Stanford Junior University

Address of nonprofit organization: 900 Welch Road, Suite 350, Palo Alto, CA 94304

Type of nonprofit organization:

- ☒ University or other institution of higher education  
☐ Tax exempt under Internal Revenue Service Code (26 U.S.C. §§ 501(a) and 501(c)(3))  
☐ Nonprofit scientific or educational under a statute of a state of the United States of America

Name of state:

Citation of statute:

- ☐ Would qualify as tax exempt under Internal Revenue Service Code (26 U.S.C. §§ 501(a) and 501(c)(3)) if located in the United States of America  
☐ Would qualify as nonprofit scientific or educational organization under a statute of a state of the United States of America if located in the United States of America

Name of state:

Citation of statute:

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 C.F.R. § 1.9(e) for purposes of paying reduced fees to the United States Patent and Trademark Office regarding the invention entitled METHODS TO MEDIATE POLYKETIDE SYNTHASE MODULE EFFECTIVENESS by inventor(s) Rajesh S. Gokhale, Stuart Tsuji and Chaitan Khosla described in:

- ☐ the specification filed herewith.  
☒ application serial no. 09/500,747, filed February 9, 2000.  
☐ patent no. , issued .

I hereby declare that the rights under contract or law have been conveyed to and remain with the nonprofit organization regarding the above identified invention. If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights in the invention must file separate verified statements answering to their status as small entities and that no rights to the invention are held by any person other than the inventor, who would not qualify as an independent inventor under 37 C.F.R. § 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 C.F.R. § 1.9(d) or a non-profit organization under 37 C.F.R. § 1.9(e).

Each person, concern or organization having any rights in the invention is listed below:

- ☐ no such person, concern or organization exists.  
☒ each such person, concern or organization is listed below.

NAME	ADDRESS	TYPE
KOSAN Biosciences, Inc.	3832 Bay Center Place, Hayward, CA 94545	<input type="checkbox"/> Individual <input checked="" type="checkbox"/> Small Business Concern <input type="checkbox"/> Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification or any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 C.F.R. § 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: Katharine Ku  
TITLE IN ORGANIZATION OF PERSON SIGNING: Director, Office of Technology Licensing  
ADDRESS OF PERSON SIGNING: Stanford University, 900 Welch Road, Suite 350, Palo Alto, CA 94304

SIGNATURE: Katharine Ku

DATE: May 23, 2000



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FOR UTILITY PATENT APPLICATION**



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June 6, 2000  
Date

Rajesh S. Gokhale  
Name: Rajesh S. Gokhale  
Residence: Delhi, India  
Citizenship: India  
Post Office Address: National Institute of Immunology  
88 Sadar Apartments, Mayur Vihar Phase-I  
(Extn), Delhi 110091, India  
Aruna Asaf Ali Marg, New Delhi 110067  
India.

                      
Date

Stuart Tsuji  
Name: Stuart Tsuji  
Residence: Stanford, CA  
Citizenship: United States  
Post Office Address: 32 Angell Court, Apt. A, Stanford, CA 94305

                      
Date

Chaitan Khosla  
Name: Chaitan Khosla  
Residence: Palo Alto, CA 94306  
Citizenship: India  
Post Office Address: 740 La Para Avenue, Palo Alto, CA 94306